

1733

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February 2, 2004

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

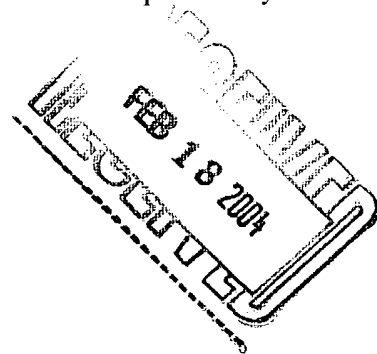
RE: Case No. JAMES-014B  
Serial No.: 09/981,388; Filing Date: 10/17/2001  
Improvements in or Relating to Containers  
Transmittal Letter

RECEIVED  
MAY 03 2004  
TC 1700

Dear Sir/Madam:

Resubmitted herewith are the following documents which were previously filed on December 10, 2003 in relation to the above-referenced matter:

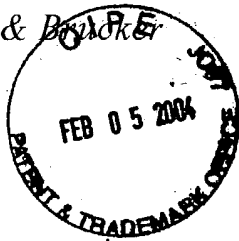
1. Certificate of Mailing;
2. Transmittal Form;
3. Fee Transmittal;
4. Amendment (6 pages);
5. Declaration (6 pages);
6. Braxton Report (Exhibit A, 7 pages);
7. Petition for Extension of Time (3 months); and
8. A Check in the Amount of \$475.00;



Also submitted is a copy of the return postcard evidencing the December 10, 2003 filing date in relation to the above-listed documents. This resubmission is in response to a request received by Applicant's counsel from Examiner Musser, who advised that the extension fee for the filed documents had been processed by the U.S. Patent and Trademark Office, but that she had not yet received any indication that the substance of the submission had been entered.

Stetina Brunda Garred & Brucker

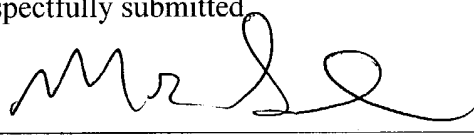
February 2, 2004  
Page 2



If you have any questions regarding this matter, please do not hesitate to contact me at the telephone number listed above.

Respectfully submitted,

Date: 2/2/04

By: 

Customer No.: 007663

Mark B. Garred  
Registration No. 34,823  
STETINA BRUNDA GARRED & BRUCKER  
75 Enterprise, Suite 250  
Aliso Viejo, California 92656  
Telephone: (949) 855-1246

MBG/akl  
Enclosures

T:\Client Documents\JAMES\014B\ltr.resub.pto.doc

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MAY 03 2004  
TC 1700

Dear Sir:

Please date stamp and return this card,  
adding the serial number assigned where  
applicable.

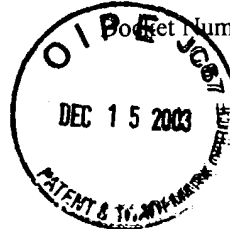
(MBG/akl)

Date Mailed: 12/10/2003

Serial No.: 09/981,388

Filing Date: 10/17/2001

Title: IMPROVEMENTS IN OR  
RELATING TO CONTAINERS



Postnet Number: JAMES-014B

Papers transmitted herewith:

Certificate of Mailing; Transmittal Form; Fee Transmittal (in duplicate);  
Amendment (6 pages); Declaration Under 37 CFR §1.132 (6 pages); Braxton  
Report (Exhibit A; 7 pages); Petition for Extension of Time (3 months); A Check  
for \$475.00 (3 month extension fee); and Return Receipt Postcard

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TC 1700

DEC 19

Dec 10, 2003

PAY TO THE  
ORDER OF

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\$ 475.00

\*\*\* Four Hundred Seventy Five \*\*\*

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DOLLARS

GENERAL ACCOUNT



MEMO

Extension of Time Fee JAMES014B

THE REVERSE SIDE OF THIS DOCUMENT INCLUDES AN ARTIFICIAL WATERMARK - HOLD AT AN ANGLE TO VIEW

050455 1220033961 303001573 11

Dear Sir:

Please date stamp and return this card,  
adding the serial number assigned where  
applicable.

(MBG/akl)

Date Mailed: 12/10/2003

Docket Number: JAMES-014B

Serial No.: 09/981,388

Filing Date: 10/17/2001

Title: IMPROVEMENTS IN OR  
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Report (Exhibit A; 7 pages); Petition for Extension of Time (3 months); A Check  
for \$475.00 (3 month extension fee); and Return Receipt Postcard

**FROM:**

LAW OFFICES

*Stetina Brunda Garred & Brucker*

PATENT, TRADEMARK, AND COPYRIGHT CAUSES

75 ENTERPRISE, SUITE 250  
ALISO VIEJO, CALIFORNIA 92656

**TO:**

Mail Stop NON FEE AMENDMENT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**FIRST CLASS MAIL**

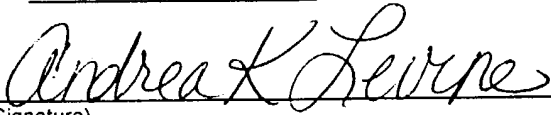
ATTORNEY DOCKET NO: JAMES-014B  
TITLE: IMPROVEMENTS IN OR RELATING TO CONTAINERS

**Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10**

☐ I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

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Alexandria, VA 22313-1450

on December 10, 2003

  
(Signature)

Andrea K. Levine  
(Typed name of person signing certificate)

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Certificate of Mailing;
2. Transmittal Form;
3. Fee Transmittal (in duplicate);
4. Amendment (6 pages);
5. Declaration Under 37 CFR §1.132 (6 pages);
6. Braxton Report (Exhibit A; 7 pages);
7. Petition for Extension of Time (3 months);
8. A Check for \$475.00 (3 month extension fee); and
9. Return Receipt Postcard



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/981,388
	<b>Filing Date</b>	10/17/2001
	<b>First Named Inventor</b>	Wayne John Harrison
	<b>Group Art Unit</b>	1733
	<b>Examiner Name</b>	Barbara J. Musser
<b>Total Number of Pages in This Submission</b>	<b>Attorney Docket Number</b>	JAMES-014B

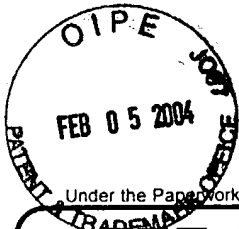
<b>ENCLOSURES (check all that apply)</b>		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Certificate of Mailing; Exhibit 1; and Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

RECEIVED  
MAY 03 2004  
TC 1700

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm or Individual name	Mark B. Garred STETINA BRUNDA GARRED & BRUCKER
Signature	
Date	12/10/03

<b>CERTIFICATE OF MAILING</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 12/10/2003			
Typed or printed name	Andrea K. Levine		
Signature		Date	12/10/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

**Complete if Known**

Application Number	09/981,388
Filing Date	10/17/2001
First Named Inventor	Wayne John Harrison
Examiner Name	Barbara J. Musser
Art Unit	1733
Attorney Docket No.	JAMES-014B

**METHOD OF PAYMENT** (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
Deposit Account Name

19-4330

STETINA BRUNDA

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Total Claims	Extra Claims	Fee from below	Fee Paid
Independent		-20** =	X	
Multiple Dependent		-3** =	X	

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	475.00
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 475.00

**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Mark B. Garred	Registration No. (Attorney/Agent)	34,823	Telephone	(949) 855-1246
Signature		Date	12/10/03		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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